

Customer No. 37535

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Julie V. Bialke et al.
Serial No.: To be assigned
Filed: March 10, 2004
For: **Antistatic Polymers, Blends, and Articles**

Art Unit No.: 1713
Examiner: Tatyana Zalukaeva

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

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Date of Deposit: March 10, 2004


Mary Ellen Stoll

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 CFR §1.56, the Examiner's attention is directed to the documents listed below:

| <u>Patent No.</u> | <u>U.S. Patents</u> <u>Inventor</u> | <u>Issue Date</u> |
|-------------------|--|--------------------|
| 4,292,420 | Turner et al. | September 29, 1981 |
| 4,302,558 | Ohya et al. | November 24, 1981 |
| 4,384,078 | Ohya et al. | May 17, 1983 |
| 4,543,390 | Tanaka et al. | September 24, 1985 |

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| 4,670,330 | Ishiwata | June 2, 1987 |
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| 5,378,405 | Gutman et al. | January 3, 1995 |
| 5,885,708 | Lu et al. | March 23, 1999 |
| 5,993,839 | Mixon | November 30, 1999 |
| 5,998,546 | Li et al. | December 7, 1999 |
| 6,017,997 | Snow et al. | January 25, 2000 |
| 6,020,438 | Lubnin et al. | February 1, 2000 |

Foreign Patents

| <u>Country</u> | <u>Document No.</u> | <u>Publication Date</u> |
|----------------|---------------------|-------------------------|
| Europe | 0 760 379 A1 | March 5, 1997 |
| Europe | 0 989 620 A2 | March 29, 2000 |
| PCT | WO 99/65958 | December 23, 1999 |

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R. H. Ottewill et al., Colloid & Polymer Science, Vol. 266, No. 6, pp. 547-553 (1988).

R. H. Ottewill, Emulsion Polymerization and Emulsion Polymers (Editors: P. A. Lovell and M. S. El-Aasser), J. Wiley & Sons (1997), pp. 104-106 (1997).

Emulsion Polymerization and Emulsion Polymers (Editors: P. A. Lovell and M. S. El-Aasser), J. Wiley & Sons, Chapters 1 and 6 (1997).

D. P. Tate and T. W. Bethea, Encyclopedia of Polymer Science and Engineering, Vol. 2, pp. 537-590 (1985).

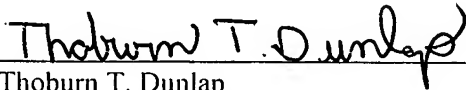
Kirk-Othmer Encyclopedia of Chemical Terminology Third Edition, Vol. 20, pp. 453-454 (1982).

Preliminary Amendment
Attorney Docket No. 200CT013A
March 10, 2004
Page 3

It is respectfully requested that the foregoing information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned with an indication that such information has been considered.

If a fee is required, the Commissioner is authorized to deduct the requisite fee from Deposit Account No. 50-1501.

Respectfully submitted,

A handwritten signature in dark ink, reading "Thoburn T. Dunlap", is written over a horizontal line.

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Date: March 10, 2004

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(Rev. 2-32)

PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200CT013A

SERIAL NO. To be assigned

APPLICANT

Julie V. Bialke et al.

FILING DATE

March 10, 2004

GROUP

1713

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | | | | | | | | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----------------|---|---|---|---|---|---|--|----------|----------------|-------|---------------|-------------------------------|
| | 4 | 2 | 9 | 2 | 4 | 2 | 0 | | 9/29/81 | Turner et al. | | | |
| | 4 | 3 | 0 | 2 | 5 | 5 | 8 | | 11/24/81 | Ohya et al. | | | |
| | 4 | 3 | 8 | 4 | 0 | 7 | 8 | | 5/17/83 | Ohya et al. | | | |
| | 4 | 5 | 4 | 3 | 3 | 9 | 0 | | 9/24/85 | Tanaka et al. | | | |
| | 4 | 6 | 7 | 0 | 3 | 3 | 0 | | 6/2/87 | Ishiwata | | | |
| | 4 | 7 | 6 | 9 | 8 | 5 | 6 | | 9/13/88 | Reed | | | |
| | 4 | 9 | 2 | 0 | 1 | 7 | 6 | | 4/24/90 | Jorgensen, Jr. | | | |
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| | 5 | 8 | 8 | 5 | 7 | 0 | 8 | | 3/23/99 | Lu et al. | | | |
| | 5 | 9 | 9 | 3 | 8 | 3 | 9 | | 11/30/99 | Mixon | | | |
| | 5 | 9 | 9 | 8 | 5 | 4 | 6 | | 12/7/99 | Li et al. | | | |
| | 6 | 0 | 1 | 7 | 9 | 9 | 7 | | 1/25/00 | Snow et al. | | | |
| | 6 | 0 | 2 | 0 | 4 | 3 | 8 | | 2/1/00 | Lubnin et al. | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | | | | | | | | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES NO | |
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| | 0 | 7 | 6 | 0 | 3 | 7 | 9 | | 3/5/97 | Europe | | | | |
| | 0 | 9 | 8 | 9 | 6 | 2 | 0 | | 3/29/00 | Europe | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | | R. H. Ottewill et al., Colloid & Polymer Science, Vol. 266, No. 6, pp. 547-553 (1988). |
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| | | R. H. Ottewill, Emulsion Polymerization and Emulsion Polymers (Editors: P. A. Lovell and M. S. El-Aasser), J. Wiley & Sons (1997), pp. 104-106 (1997). |
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| | | Kirk-Othmer Encyclopedia of Chemical Terminology Third Edition, Vol. 20, pp. 453-454 (1982). |
| | | |

EXAMINER

DATE CONSIDERED